S	Synopsis (C0328T01 Pai	rt 1)	
Name of Sponsor/Company: Centocor, Inc. and Centocor, B.V.	Associated with Module 5.3 of the Dossier		
Name of Finished Product: CNTO 328			
Name of Active Ingredient: Anti-IL-6 immunoglobulin G monoclonal antibody			
Protocol: C0328T01	EudraCT No	.: 2004-000546-2	20
<b>Title of the study:</b> A Phase 1/2 Stud with Metastatic Renal Cell Carcinoma		ainst Interleukin-6	6 (CNTO 328) in Subjects
<b>Principal/Coordinating Investigato</b> of the study were Prof. Jean-Francois			in the conduct of Part 1
Study Center(s): Prof. Rossi - CHU Prof. Frans M.J. DeBruyne - UMC St			
<b>Publication (reference):</b> Prabhakar U, Jang H, Jiao Q, Ford J, anti-IL-6 monoclonal antibody concer <i>ASCO</i> . 2004. Abstract 2560.			
<b>Studied Period:</b> The first and last su this report were enrolled (signed infor 08 Nov 2004.			<b>Phase of Development:</b> Phase 1/2
Objectives: Primary: The primary objectives of and pharmacodynamics of CNTO 328 2 possible dose levels could be evalua Secondary: The secondary objective benefit, and quality of life benefit of O	8 in subjects with metastatic reated in Part 2. es of Part 1 of the study were t	enal cell carcinom	a (RCC), so that
<b>Methodology:</b> Part 1 of this 3-part st at 4 dose levels (1, 3, 6, and 12 mg/kg consisted of 3 periods: Screening, Tr were evaluated to determine the dose. CNTO 328. The SMC evaluated the postadministration following the first on the number of subjects with DLT a level was determined to be safe and to number of subjects experiencing any examinations, adverse event (AE) eva at protocol specified times between so all subjects after the first and fourth a on samples from all other administrat evaluable for safety, pharmacokinetic could be administered if a subject was of study agent, subjects were to be for	g) to confirm a regimen for Pareatment, and Follow-up. Dur- limiting toxicities (DLTs) and study for DLTs through an assest infusion. Dose escalation or attributable to CNTO 328. Decolerable. Determination of the DLT attributable to CNTO 328 aluation, pharmacokinetic, phatcheduled administrations. A findministration, with peak and the set of the set	rt 2 and for extend ing the Treatment d maximum tolera sessment of the da de-escalation at ea see escalation proce MTD of CNTO 8. Subjects return armacodynamic, a full pharmacokine rough concentrati ed any part of an aponse to treatment at least stable dis macokinetics, pha d at 12, 18, and 24	ded dosing. The study Period, the 4 dose levels ted dose (MTD) of ta collected 48 hours ach dose level was based ceeded only after a dose 328 was based on the ned to the site for physical nd laboratory assessments tic profile was required for on assessments performed infusion was considered at. An additional 4 doses sease. After the last dose urmacodynamics, and 4 weeks after the last dose

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Name of Finished Product: CNTO 328		
Name of Active Ingredient: Anti-IL-6 immunoglobulin G monoclonal antibody		
<b>Number of Subjects (Planned and</b> A cohort expansion in the event of a DL (1 subject), 3 mg/kg (3 subjects), 6 m	T. In total, 11 subjects were en	
cohorts and $\geq$ 50 mg/L for the 12 mg/	in the study were required to have serum C-reactive protein (CRP kg dose cohort; a life expectance	
<b>Test Product, Dose and Mode of Ac</b> intravenously at 4 dose levels (1, 3, 6, D03PB7227 and D03PB7231.		
	ression occurred. Subjects resp up to 4 additional administration	Days 1, 29, 43, and 57), unless onding to treatment with at least disease as. Study agent was to be administered
Reference Therapy, Dose and Mode	e of Administration, Batch Nu	mber: Not applicable.
Criteria for Evaluation:		
the extended administrations. Peak an	IC, Cmax, terminal t1/2, CL, an lucted following the first and for nd trough assessments were per	d volume of distribution (Vz). The urth infusions, but was not evaluated for
and IL-6 (total and free) levels at each level for each timepoint. Mean CRP	n timepoint. Individual CRP an and IL-6 were plotted by dose le nyloid A [SAA], GP80, soluble	GP130, serum C-telopeptide [CTx], and
	were required to have a repeat	itiating treatment. Subjects responding to scan 4 to 6 weeks later to confirm the nal administrations.
by the SMC as dose-limiting. Safety following data were provided to the S history and clinical staging, prior can and diastolic blood pressure (BP), pul exam findings, existing signs and syn administration, concomitant medication based upon these data, investigator re	assessments were performed or MC for assessment of safety: C cer-related therapy, Karnofsky p lse, temperature, and respiration uptoms related to cancer, labora ons, and AEs. The SMC determ ports, and any other relevant int	Confirmation of eligibility, renal cancer berformance status, vital signs (systolic rate), ECG, medical history and physical tory data, radiological data, study agent nined if a subject experienced a DLT

	V I (
Name of Sponsor/Company: Centocor, Inc. and Centocor, B.V.	Associated with Module 5.3 of the Dossier
Name of Finished Product: CNTO 328	
<b>Name of Active Ingredient:</b> Anti-IL-6 immunoglobulin G	

was assessed by an evaluation of all AEs, serial measurements of laboratory parameters and vital signs, ECG and ophthalmic exams, and the pharmacokinetics of CNTO 328. Serum samples were collected for detection of immune response to CNTO 328.

**Statistical Methods:** No formal hypothesis testing was performed for any endpoint. Descriptive statistics, such as mean, median, and range for continuous variables, and percentage for categorical variables were used to summarize most data.

#### **SUMMARY – CONCLUSIONS**

monoclonal antibody

**Study Population Results:** Eleven subjects with measurable or evaluable metastatic RCC were enrolled in the 4 dose cohorts in Part 1 of this study. One subject received 1 mg/kg, 3 subjects each received 3 or 6 mg/kg, and 4 subjects received 12 mg/kg. There were 9 male and 2 female subjects ranging in age from 56 to 73 years. Eight of the 11 treated subjects received the planned 4 infusions; 5 of these subjects continued in the study and received extended treatment.

**Pharmacokinetic/Pharmacodynamic Results:** Drug exposure increased with dose between the 1 to 12 mg/kg dose range. The increase in Cmax and AUC appeared to be near dose-proportional from the 1 to 6 mg/kg range, but was less than dose-proportional between the 2 higher doses, 6 and 12 mg/kg. Steady-state conditions were not reached by Day 57. The CL and Vz values estimated following the first dose might not be highly precise, and there were no significant differences with respect to t1/2 across the four dose cohorts.

Serum CRP levels that were greater than 30 mg/L were not adequately suppressed (below LOQ) in the current study. Total IL-6 levels increased in a time dependent manner following CNTO 328 administration. The increase in total IL-6 concentrations most likely reflects total IL-6 complexed with CNTO 328. The increase in free IL-6 concentrations cannot be fully explained. Other serum markers, which exhibited sustained inhibition following CNTO 328 administration, include GP80 and SAA in all dose cohorts, NTx in the 12 mg/kg dose cohort only, and sIL-6R in the 1, 3, and 6 mg/kg dose cohorts.

**Efficacy Results:** Conclusions about the overall efficacy or the efficacy of a dose cohort cannot be drawn because of the small number of subjects included in Part 1 of the study. Five out of 10 evaluable subjects had stable disease during the study. Five subjects, 3 subjects, and 2 subjects were clinical benefit responders at Weeks 4, 6, and 8, respectively. Clinical benefit responders at Weeks 6 and 8 were in the 6 and 12 mg/kg dose cohorts.

**Safety Results:** CNTO 328 was generally well tolerated by the 11 subjects treated at the 4 dose levels tested in Part 1 of this study and during extended dosing. All treated subjects had 1 or more AE, however the majority of AEs were reported only in 1 subject. No DLT or dose-related toxicities were observed. All AEs of toxicity Grade 3 or higher and SAEs were considered unlikely or not related to CNTO 328. One subject experienced Grade 3 pneumonia and was subsequently discontinued from the study as a result of this continuing AE. One subject was withdrawn from the study because of a SAE that was considered not related to treatment with CNTO 328. One subject reported a mild infusion reaction after the first infusion but continued in the study without further incident. No subjects were diagnosed with possible anaphylactic or possible delayed hypersensitivity reactions. No dose-response relationship was noted across any specific AEs or for any system organ class. No changes in vital signs, ECG, urinalysis, clinical hematology or clinical chemistry parameters identified by laboratory investigations appeared to have a correlation with exposure to study agent. Two subjects died as a result of disease progression: 1 subject died during study participation and 1 subject died during extended administration. Immune response results will be reported in the Part 3 CSR.

	Synopsis (C0328101 Part	1)
Name of Sponsor/Company: Centocor, Inc. and Centocor, B.V.	Associated with Module 5.3 of the Dossier	
Name of Finished Product: CNTO 328		
Name of Active Ingredient: Anti-IL-6 immunoglobulin G monoclonal antibody		
Date of Revised Report: 07 Mar 20	08	

Name of Sponsor/Company:	Associa	328T01 Part	_,	
Centocor, Inc. and Centocor, B.V.		of the Dossier		
Name of Finished Product: CNTO 328				
Name of Active Ingredient: Anti-IL-6 immunoglobulin G monoclonal antibody				
Protocol: C0328T01		EudraCT No.	: 2004-0	00546-20
<b>Title of the study:</b> A Phase 1/2 Stud with Metastatic Renal Cell Carcinoma		c Antibody Agai	nst Interl	eukin-6 (CNTO 328) in Subjects
<b>Principal/Coordinating Investigato</b> Lapeyronie, Montpellier, France	r(s): Prof. Jean	n-François Rossi	, CHU de	e Montpellier, Hôpital
<b>Study Center(s):</b> 14 initiated study c Republic, and 2 in the Netherlands)	centers (5 in Fra	ance, 4 in the Ur	ited Kin	gdom [UK], 3 in the Czech
Publication (reference): None				
Studied Period: 04-Dec-2003 / 14-D	Dec-2004			<b>Phase of Development:</b> 1/2
Methodology: Part 2 was designed to	o turther evalua	ate the 7 dose lev	rele trom	Howf I that many many to langted
Simon 2-stage design study to evaluat determine an appropriate regimen for receive a total of 4 IV infusions of CN Subjects were followed for an additio pharmacokinetics, pharmacodynamics	te potential turn further studies NTO 328 (1 inf nal 6 weeks, fo s, and immune	kg and 6 mg/kg). nor response for of CNTO 328. Susion every 3 we or collection of d responses.	This wa the select In Stage eeks on stata, inclu	as a randomized, 2-treatment, ted dose strengths, and to 1, subjects were scheduled to tudy Days 1, 22, 43, and 64). ding but not limited to
Simon 2-stage design study to evaluat determine an appropriate regimen for receive a total of 4 IV infusions of CN Subjects were followed for an additio	te potential turr further studies VTO 328 (1 inf nal 6 weeks, fo s, and immune the end of Stag 8 of 43 subjects al response (Pl view and in cor following was of likely to benefi ieve complete r suppression).	kg and 6 mg/kg). nor response for of CNTO 328. Susion every 3 we or collection of d responses. ge 1. The planne s (ie, 18 in Stage R), or stable dise nsultation with the determined: firs t from treatment neutralization of	This wa the selec: In Stage eeks on s ata, inclu ed Stage 2 1 plus 2 ase (SD) ne chairm t, further ; second, circulatin	<ul> <li>as a randomized, 2-treatment, ted dose strengths, and to</li> <li>1, subjects were scheduled to</li> <li>tudy Days 1, 22, 43, and 64).</li> <li>ding but not limited to</li> <li>2 efficacy success criterion for</li> <li>5 in Stage 2) in either dose cohorts</li> <li>Both dose cohorts met this</li> <li>nan of the Safety and Efficacy</li> <li>evaluation would be required to</li> <li>further evaluation was required</li> <li>ng interleukin 6 (IL-6) (as</li> </ul>
Simon 2-stage design study to evaluate determine an appropriate regimen for receive a total of 4 IV infusions of CN Subjects were followed for an addition pharmacokinetics, pharmacodynamics An interim analysis was conducted at the primary endpoint was for at least at to have complete response (CR), parti- criterion in Stage 1. Based on that rev Monitoring Committee (SEMC), the f determine which subjects were more to assess which doses would best ach indicated by C reactive protein [CRP]	te potential turr further studies VTO 328 (1 inf nal 6 weeks, fo s, and immune the end of Stag 8 of 43 subjects al response (Pl view and in cor following was of likely to benefi eve complete r suppression). add Part 3.	ag and 6 mg/kg). nor response for of CNTO 328. Susion every 3 we or collection of d responses. ge 1. The planne s (ie, 18 in Stage R), or stable dise nsultation with the determined: first t from treatment neutralization of Therefore, Cent	This wa the selec: In Stage eeks on s ata, inclue ed Stage 2 1 plus 2 ase (SD) ne chairm t, further ; second, circulatin ocor dec	as a randomized, 2-treatment, ted dose strengths, and to 1, subjects were scheduled to tudy Days 1, 22, 43, and 64). ding but not limited to 2 efficacy success criterion for 5 in Stage 2) in either dose cohort . Both dose cohorts met this han of the Safety and Efficacy evaluation would be required to further evaluation was required ng interleukin 6 (IL-6) (as ided not to proceed with Stage 2 ge 1 and 50 subjects in Stage 2, 37 subjects were analyzed for
Simon 2-stage design study to evaluat determine an appropriate regimen for receive a total of 4 IV infusions of CN Subjects were followed for an additio pharmacokinetics, pharmacodynamics An interim analysis was conducted at the primary endpoint was for at least 3 to have complete response (CR), parti- criterion in Stage 1. Based on that rev Monitoring Committee (SEMC), the f determine which subjects were more 1 to assess which doses would best achi- indicated by C reactive protein [CRP] of Part 2, but to amend the study and for up to a total of 86 subjects. In Sta	te potential turr further studies VTO 328 (1 inf nal 6 weeks, fo s, and immune the end of Stag 8 of 43 subjects al response (Pl view and in cor following was of likely to benefi ieve complete r suppression). add Part 3. <b>Analyzed):</b> Pla ge 1, 38 subject not conducted	ag and 6 mg/kg). nor response for of CNTO 328. usion every 3 we or collection of d responses. ge 1. The planne s (ie, 18 in Stage R), or stable dise nsultation with th determined: firs t from treatment neutralization of Therefore, Cent mned: 36 subjects ts were randomi (refer to Protocc	This wa the selec: In Stage eeks on s ata, inclue ed Stage 2 ase (SD) he chairm t, further ; second, circulatin ocor dec ets in Stag zed, and ol Ameno astatic R	as a randomized, 2-treatment, ted dose strengths, and to 1, subjects were scheduled to tudy Days 1, 22, 43, and 64). ding but not limited to 2 efficacy success criterion for 5 in Stage 2) in either dose cohort . Both dose cohorts met this han of the Safety and Efficacy evaluation would be required to further evaluation was required ng interleukin 6 (IL-6) (as ided not to proceed with Stage 2, 37 subjects were analyzed for Iment 3, Appendix 1). CC with detectable CRP levels

Centocor, Inc. and Centocor, B.V.	Associated with Module 5.3 of the Dossier	
Name of Finished Product: CNTO 328		
Name of Active Ingredient: Anti-IL-6 immunoglobulin G monoclonal antibody		
D03PB7231 and D03PB7227.		
Study agent was to be administered or treatment with CNTO 328 for lack of approximately 19 weeks (including sc	ver a period of not less than 2 h tolerance, for AEs, or for PD. ' creening and 6-week follow-up)	TO 328 on study Days 1, 22, 43, and 64. ours. Subjects were discontinued from The total participation was expected to be . However, subjects who responded to of CNTO 328 in extended administration.
Reference Therapy, Dose and Mode	e of Administration, Batch Nu	mber: None.
Criteria for Evaluation:		
<b>Pharmacokinetics/Pharmacodynam</b> concentration of CNTO 328 were: are concentration (Cmax), terminal half-li	ea under the serum concentratio	on time curve (AUC), maximum observed
cohort over time. Secondary pharmac	ort for each timepoint. Mean Cleodynamic markers (serum amy alyzed for each timepoint specif	RP and IL-6 levels were plotted by dose
<b>Efficacy:</b> The primary efficacy endpoincluding disease stabilization within responding to treatment with SD or be performed 4 to 6 weeks later to determ efficacy endpoints were: the proportion response ( $CR + PR$ ), and the proportion	11 weeks after the first adminis etter were required to have furth nine if an objective response wa on of subjects with clinical bend	her radiologic and clinical assessment as confirmed. The major secondary efit, time to PD, duration of tumor
<b>Safety:</b> The overall safety of CNTO toxicities (Grade 3 or 4), all adverse e reactions), serial measurements of lab abnormal ECGs, and ophthalmologic	vents (AEs) (including hyperse oratory parameters (hematology	
		cohorts that were determined to be well as stratified by pretreatment CRP levels

# Synopsis (C0328T01 Part 2) Associated with

Name of Active Ingredient: Anti-IL-6 immunoglobulin G	Name of Sponsor/Company: Centocor, Inc. and Centocor, B.V.Name of Finished Product: CNTO 328	Associated with Module 5.3 of the Dossier
monoclonal antibody	<b>Name of Active Ingredient:</b> Anti-IL-6 immunoglobulin G	

#### SUMMARY – CONCLUSIONS

**Study Population Results:** A total of 38 subjects were randomized: 18 in the 3 mg/kg dose cohort and 20 in the 6 mg/kg dose cohort. One subject in the 3 mg/kg dose cohort terminated study participation prior to receiving treatment with CNTO 328, reducing the total to 37 treated subjects. Seventeen subjects received infusions of CNTO 328 in extended administration.

Of all 38 randomized subjects, 68.4% (26) were male, and all subjects were Caucasian. The median age across dose cohorts was 56.5 years (3 mg/kg dose cohort range: 39 to 72 years; 6 mg/kg dose cohort range: 26 to 82 years). The median weight was 82.0 kg. The majority of subjects had at least 1 prior cancer-related systemic therapy. A total of 9 subjects (8 in the 6 mg/kg dose cohort; 1 in the 3 mg/kg dose cohort) received treatment with radiotherapy prior to randomization.

Of all randomized subjects, 22 (57.9%) subjects did not meet the study entry criteria. The most common reason for not meeting the study entry criteria was baseline disease characteristics (which includes subjects whose baseline radiologic scans were outside of the protocol-specified window and subjects who lacked documentation of PD within 6 months of study entry). During the study, the most common reason for deviations in treatment was administration of study agent outside of the protocol-specified window (5 subjects in the 3 mg/kg dose cohort; 5 subjects in the 6 mg/kg dose cohort).

Of the 37 treated subjects, 15 (40.5%) subjects discontinued treatment with study agent through Week 9 prior to receiving 4 scheduled study agent infusions, and the most common reason was PD (13 subjects, 35.1%).

**Pharmacokinetic/Pharmacodynamic Results:** Drug exposure increased with an increase in CNTO 328 dose strength from 3 mg/kg to 6 mg/kg. Increases in both Cmax and AUC appeared to be approximately dose-proportional. A steady-state condition did not appear to have been attained by the fourth dose on Day 64. The estimated CL and Vz values following the first dose were dose-independent. No significant difference was identified in terminal t1/2 between the 2 dose cohorts.

In this study, CNTO 328 treatment in both the 3 mg/kg and 6 mg/kg dose cohorts resulted in a sustained suppression of circulating levels of both CRP and SAA. In all but 1 subject, a complete suppression of CRP (below LOQ) was not achieved in individual subjects in either dose cohort when the baseline serum CRP levels were greater than 30 mg/L. Overall, a decrease in serum CRP levels from baseline was evident in both the 3 mg/kg and 6 mg/kg dose cohorts.

There was a gradual increase in both total and free IL-6 levels following CNTO 328 administration in both dose cohorts. While the increase in total IL-6 concentrations could be attributed to the formation of immune complexes, the increase in free IL-6 concentrations could not be fully explained. Due to the fact that certain caveats were identified (post sample analysis) in the free IL-6 assay methodology, it was not possible to make reasonable conclusions from these results.

In general, levels of soluble IL-6R (GP80) and soluble GP130 both increased from baseline for the 3 mg/kg and 6 mg/kg dose cohorts following CNTO 328 administration. The increase for soluble IL-6R was greater in the 6 mg/kg dose cohort than in the 3 mg/kg dose cohort. Subjects in the 6 mg/kg dose cohort generally had higher levels of serum NTx and CTx than those in the 3 mg/kg dose cohort. Data from serum NTx and CTx levels showed considerable variability in both dose cohorts and did not follow any specific trend.

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Name of Sponsor/Company: Centocor, Inc. and Centocor, B.V.	Associated with Module 5.3 of the Dossier	
Name of Finished Product: CNTO 328		
Name of Active Ingredient: Anti-IL-6 immunoglobulin G monoclonal antibody		

**Efficacy Results:** The primary efficacy endpoint for Part 2 was overall tumor response including disease stabilization through the Week 11 radiologic assessment. Of the 37 treated subjects in Part 2, 17 were in the 3 mg/kg dose cohort and 20 were in the 6 mg/kg dose cohort. Of the 17 subjects treated with 3 mg/kg CNTO 328, 1 subject had a PR, 10 subjects had SD, and 6 subjects had PD. Of the 20 subjects treated with 6 mg/kg CNTO 328, 10 subjects had SD, and 10 subjects had PD.

Response to treatment with CNTO 328 (defined as SD or better) was similar between the 2 dose cohorts. A higher proportion of subjects who responded to treatment with CNTO 328 was seen among those who had received previous treatment for RCC and among those with a low level of CRP at baseline.

One subject in the 3 mg/kg dose cohort had PR to treatment and was assessed for duration of response. The subject received a total of 10 administrations of CNTO 328. The PR was observed at the first radiologic assessment at Week 7 (study Day 55) and was sustained for a total of 228 days. Radiologic examination at study Day 283 showed PD and treatment was discontinued. Overall, the median time to PD for all treated subjects was 102.0 days (95% CI, 52.0 to 169.0 days). The median time to PD was shorter for the 6 mg/kg dose cohort (66.0 days; 95% CI, 50.0 to 189.0 days) than for the 3 mg/kg dose cohort (104.0 days; 95% CI, 60.0 to 210.0 days).

Quality of life and clinical benefit could not be adequately assessed for this study as a result of 2 factors: lack of a control group and lack of concomitant drug use data at treatment intervals. Additionally, the results of pain and fatigue questionnaires after Week 6 were unreliable as a result of the sizable cohort attrition that occurred after that point. Overall, there was no meaningful variation across treatment cohorts or over time in temperature, Karnofsky performance status scores, or body weight.

**Safety Results:** CNTO 328 was generally well-tolerated. The safety profiles of subjects in the 3 mg/kg dose cohort and the 6 mg/kg dose cohort were similar to each other. All 17 treated subjects in the 3 mg/kg dose cohort had 1 or more AEs. Nineteen (95.0%) of the 20 treated subjects in the 6 mg/kg dose cohort had 1 or more AEs. Certain AEs (including constipation, headache, lethargy, cough, and hot flush) were more prominent in the 3 mg/kg dose cohort than in the 6 mg/kg dose cohort; other AEs (bone pain, haemoptysis, and anorexia) were reported by more subjects in the 6 mg/kg dose cohort than in the 3 mg/kg dose cohort. Overall, the most commonly reported AEs of any grade were fatigue and nausea (27.0% each); dyspnoea (21.6%); cough and back pain (18.9% each); headache and arthralgia (16.2% each); constipation, vomiting, asthenia, dizziness, and lethargy (13.5% each); abdominal pain, diarrhea, chest pain, nasopharyngitis, and hyperhidrosis (10.8% each).

Seven (41.2%) subjects in the 3 mg/kg dose cohort had 1 or more Grade 3 or higher AEs. Ten (50.0%) subjects in the 6 mg/kg dose cohort had 1 or more Grade 3 or higher AEs. The most common Grade 3 or higher AEs were fatigue, chest pain, back pain, dyspnoea, and hypertension (5.4% each). Two subjects in the 6 mg/kg dose cohort permanently discontinued study agent as a result of SAEs (cardiac failure and ARDS). Two subjects in the 6 mg/kg dose cohort died as a result of PD. A total of 33 subjects received no prophylactic medications during the study. The most commonly markedly abnormal postbaseline vital sign was increased BP (both diastolic and systolic).

Among the hematology parameters, trends toward decrease from baseline with prolonged administration of CNTO 328 were observed for platelets, as well as WBCs and neutrophils. For all 3 parameters, the trend was toward recovery upon discontinuation of treatment with study agent. No major changes from baseline were identified in vital signs, ECGs, urinalysis, or clinical chemistry parameters.

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Name of Sponsor/Company: Centocor, Inc. and Centocor, B.V.	Associated with Module 5.3 of the Dossier	
Name of Finished Product: CNTO 328		
<b>Name of Active Ingredient:</b> Anti-IL-6 immunoglobulin G monoclonal antibody		
Date of Revised Report: 07 Mar 20	08	

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Name of Sponsor/Company: Centocor, Inc and Centocor, B.V		ated with of the Dossier		
Name of Finished Product: CNTO 328				
Name of Active Ingredient: Anti-IL-6 immunoglobulin G monoclonal antibody				
Protocol: C0328T01		EudraCT No.	: 2004-0	00546-20
<b>Title of the study:</b> A Phase 1/2 Study with Metastatic Renal Cell Carcinoma	of a Chimeric A	ntibody Against	Interleul	kin-6 (CNTO 328) in Subjects
Principal/Coordinating Investigator( Montpellier, France	s): Prof. Jean-F	rançois Rossi, C	HU de M	lontpellier, Hôpital Lapeyroni
Study Center(s): 10 study centers we	e initiated: 4 in	France, 3 in the	Netherla	nds, and 3 in the UK.
Publication (reference): None				
Studied Period: 29 Mar 2005 to 24 M	ay 2006			Phase of Development: 1/
(measured by tumor response) of CNTO secondary objectives were to assess the life benefit of CNTO 328 in subjects w	immunogenicit ith metastatic R	y, pharmacodyn CC.	amics, cli	nical benefit, and quality of
<b>Methodology:</b> Part 3 was an open-lab consisted of 3 periods: screening, treat scheduled to receive a total of 6 IV infu	ment, and follow	v-up. During the	e treatmen	nt period, subjects were
15, 29, 43, 57, and 71). The 6 mg/kg d and efficacy of CNTO 328 in the treatment	ose level was se	lected to further	evaluate	
15, 29, 43, 57, and 71). The 6 mg/kg d	ose level was se nent of subjects usion of CNTO c evaluation of c ent on to receive ird and sixth ext to the site at pro- on, pharmacokir ed for all subjec essments perfor- ed a 6-week follo nse evaluations.	lected to further with metastatic 1 328 was conside disease at Screer e extended administri tocol-specified t tocol-specified t tots after the first a med on samples ow-up period for Subjects were	evaluate RCC. red evalu- nistration ations of imes betw lynamic, a and last a- from all o data coll followed	the safety, pharmacokinetics, able for analyses of efficacy k 7, Week 11, and at the s of CNTO 328, radiologic CNTO 328 and earlier if ween scheduled administration and laboratory assessments. dministration of CNTO 328, other administrations. After t ection for pharmacokinetics,
15, 29, 43, 57, and 71). The 6 mg/kg d and efficacy of CNTO 328 in the treatr Any subject who received at least 1 inf and safety. Subjects received radiologi 6-week follow-up. For subjects who w evaluations were performed after the th clinically indicated. Subjects returned for physical examinations, AE evaluati full pharmacokinetic profile was requir with peak and trough concentration ass last dose of study agent, subjects entere pharmacodynamics, and immune respo	ose level was se ment of subjects usion of CNTO c evaluation of c ent on to receive ird and sixth ext to the site at pro- on, pharmacokir ed for all subjec essments perform ed a 6-week follo nse evaluations. e (survival) and	lected to further with metastatic l 328 was conside disease at Screer e extended administr tocol-specified t hetic, pharmacod ts after the first a med on samples ow-up period for Subjects were to immunogenicity	evaluate RCC. ared evalu ing, Wee nistration ations of imes betw lynamic, a and last a from all of data coll followed	the safety, pharmacokinetics, able for analyses of efficacy k 7, Week 11, and at the s of CNTO 328, radiologic CNTO 328 and earlier if veen scheduled administration and laboratory assessments. A dministration of CNTO 328, other administrations. After the ection for pharmacokinetics, for up to 1 year after the last

Name of Sponsor/Company: Centocor, Inc and Centocor, B.V	Associated with Module 5.3 of the Dossier
Name of Finished Product: CNTO 328	
Name of Active Ingredient: Anti-IL-6 immunoglobulin G monoclonal antibody	

**Test Product, Dose and Mode of Administration, Batch Number:** CNTO 328 was supplied as 100 mg vials containing 5 mL of liquid at a concentration of 20 mg/mL. The study agent was administered intravenously at a single dose level of 6 mg/kg CNTO 328 every 2 weeks. All subjects received study agent from cell line C175H (lot number D04PJ7380).

**Duration of Treatment:** Subjects received 6 administrations of CNTO 328 at a dose level of 6 mg/kg on study Days 1, 15, 29, 43, 57, and 71. Study agent was to be administered over a period of not less than 2 hours. Subjects were discontinued for unacceptable toxicity or PD. The duration of study participation was expected to be 21 weeks (including screening and 6-week follow-up). However, subjects who received all 6 infusions of CNTO 328 in extended administration participated for approximately 22 additional weeks.

Reference Therapy, Dose and Mode of Administration, Batch Number: None.

**Criteria for Evaluation:** Two analysis populations were defined in this study: treated subjects and subjects evaluable for tumor response. The treated subject population included subjects who received at least 1 administration of CNTO 328. All planned analyses were based on this population. The population of subjects evaluable for tumor response was defined as subjects who received at least 1 administration of CNTO 328 and had either progressed or had their Week 11 radiologic assessment.

**Pharmacokinetics/Pharmacodynamics:** The pharmacokinetic parameters used to evaluate the serum concentration of CNTO 328 were AUC, Cmax, terminal t1/2, CL and volume of distribution (Vz). A complete pharmacokinetic profile was performed after the first and last infusion during the treatment period. Peak and trough assessments were performed on samples from all other administrations. Serum concentration of CNTO 328 for each subject was determined and is summarized and plotted over time. The primary pharmacodynamic endpoint for Part 3 was percent change from baseline in CRP levels at each timepoint. Individual CRP values were analyzed for each timepoint and plotted over time. IL-6 [total and free] was not assessed in this study because of interference of the drug with the performance of the assay. The following secondary pharmacodynamic markers were analyzed for each timepoint and plotted over time: soluble IL-6 receptor, soluble GP130, serum NTx, serum CTx, and serum amyloid A (SAA).

**Efficacy:** The primary efficacy endpoint for Part 3 was the proportion of subjects who had a confirmed overall response of complete response (CR) or partial response (PR). The major secondary efficacy endpoints summarized were: proportion of subjects with clinical benefit, time to PD, duration of tumor response (CR + PR), and proportion of subjects with tumor response (CR + PR + SD). Other secondary efficacy endpoints included: change from baseline in quality of life (FACIT-Fatigue), change from baseline in temperature, change from baseline in Karnofsky performance status, change from baseline in weight, change from baseline in pain intensity (Brief Pain Inventory [BPI] Question 3), and survival.

**Safety:** The safety endpoints summarized include the incidence of the each of the following: all AEs; Grade 3 or higher AEs; SAEs; hypersensitivity and Grade 3 or above infusion reactions; clinically significant changes in vital signs; clinically significant changes in safety-related laboratory parameters; and abnormal ECGs.

**Statistical Methods:** In general, descriptive statistics (eg, number of observations, means, standard deviations, medians, and ranges) were used to summarize data in Part 3. Formal hypothesis testing was performed for the primary efficacy endpoint (proportion of subjects who had a confirmed overall response of CR or PR). The analysis included and was summarized by all subjects who received at least 1 administration of CNTO 328. CNTO 328 was considered not effective if less than 3 of the 20 treated subjects had a confirmed overall response of CR or PR. This criterion was based on exact binomial calculations with a Type I error rate of 0.10.

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Name of Finished Product: CNTO 328	
Name of Active Ingredient: Anti-IL-6 immunoglobulin G monoclonal antibody	

SUMMARY – CONCLUSIONS

**Study Population Results:** The 19 males and 1 female in the study ranged in age from 50 to 77 years with a median age of 62 years. Nineteen subjects were Caucasian and 1 subject was Asian. The overall median body weight was 85.5 kg. Clear cell carcinoma was the predominate tumor histology (18 subjects). Histopathologic grade was available for 19 subjects, of which 13 had a high-grade classification of poorly differentiated tumor cells. All subjects were confirmed to have metastatic disease (M1), 11 subjects had disease extending beyond the kidney (T3 and T4), and 5 subjects had evidence of nodal involvement (N1 to N2). At baseline, the median level of fatigue was similar to that found in the general US population and little anemia treatment was observed. In general, subjects had mild to no pain and were using very few analgesics, most of which were non-opioids.

Of the 20 subjects enrolled and treated, 7 subjects discontinued treatment with study agent during the first 6 IV administrations of CNTO 328. Reasons for study agent discontinuation included PD, withdrawal of consent, AE, and renal failure and elevated hypertension. Three subjects terminated study participation, and the reasons included death and withdrawal of consent. Two treated subjects did not meet the study selection criteria at baseline, and the reasons included unmeasurable RCC and not having appropriate medical history and laboratory results. The most common reason for deviating from per-protocol treatment was administration of study agent after progressive disease (7 subjects). Additionally, 1 subject had a 1-week delay in treatment prior to Infusion 5.

**Pharmacokinetic/Pharmacodynamic Results:** In Part 3, systemic exposure to CNTO 328 (Cmax and AUC) indicated moderate drug accumulation with a dose regimen of 6 mg/kg every 2 weeks. A steady-state condition had not been attained by the sixth dose on study Day 71.

Across all 3 parts of the study, a total of 44 subjects were evaluated for antibodies to CNTO 328. No subject in any part of the study demonstrated a positive antibody response to CNTO 328. Eight subjects tested negative for antibodies to CNTO 328 after the last treatment, and 36 subjects could not be classified as being negative for antibodies due to potential interference from circulating drug so they were described as having an undetectable status after last treatment.

In Part 3, treatment with CNTO 328 resulted in a sustained suppression of circulating levels of both CRP and SAA. Even though complete suppression of CRP levels (below LOQ) was not achieved when serum levels were greater than 30 mg/L (Parts 1 and 2), overall, a decrease in serum CRP levels from baseline was evident in all 3 studies (Parts 1, 2 and 3). Levels of free and total IL-6 were not evaluated in Part 3 due to interference of the drug with the performance of the assay. Levels of soluble IL-6R (GP80) and serum soluble GP130 showed an increase above baseline following CNTO 328 administrations. These results were consistent with the increase in GP80 and GP130 levels observed in Part 2. In Part 3, an increase in levels of both serum NTx and CTx was evident following the initial CNTO 328 administrations, then levels of both decreased to below baseline levels by the end of the study. In Part 2, there was considerable variability in the levels of NTx and CTx observed in both dose cohorts, and they did not follow any specific trend. Data for NTx and CTx did not follow any specific trend across any of the 3 studies (Parts 1, 2, or 3).

**Efficacy Results:** Of the 20 treated subjects, 13 (65.0%) subjects had SD and 7 (35.0%) subjects had PD. Of the 18 subjects evaluable for tumor response, 11 (61.1%) subjects had SD and 7 (38.9%) subjects had PD. No subjects had CR or PR. There was no meaningful variation over time in fatigue, temperature, performance status, weight, or pain. Additionally, pain and fatigue questionnaire results after Week 6 were unreliable as a result of the sizable cohort attrition that occurred after that point.

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**Safety Results:** A total of 20 subjects received treatment with CNTO 328. The median time from the first to the final administration was 71.0 days, with a range of 1 to 239 days. The average duration of follow-up was 20.1 weeks. Thirteen subjects completed all 6 per-protocol infusions, 9 of whom went on to receive study agent in extended administrations. Nineteen (95.0%) subjects experienced 1 or more treatment-emergent AEs, the majority of which were reported for only 1 subject. The most commonly reported treatment emergent AE was dizziness, which was reported for 6 (30.0%) subjects, 5 of which were Grade 1 and 1 of which was Grade 2. Other frequently reported treatment emergent AEs were diarrhea, reported for 5 (25.0%) subjects, and fatigue, reported for 4 (20.0%) subjects. Three subjects had AEs of rash that occurred within 14 days of infusions. All of these events were Grade 1, and no subject discontinued treatment with study agent as a result of these events.

One death occurred during the study as a result of a Grade 4 SAE of massive cerebral hemorrhage. Three subjects had 4 SAEs, none of which were considered to be reasonably related to treatment with CNTO 328. Temporal AEs were recorded for 15 (75.0%) subjects, 2 of which were severe (ie, Grade 3 or 4): pain and musculoskeletal pain. Three subjects had possible infusion reactions of hot flush, hypotension, and dysgeusia. No subjects had possible delayed hypersensitivity or anaphylactic reactions. One subject discontinued treatment with study agent as a result of a treatment-emergent AE of Grade 2 proteinuria. No subject had an abnormal ECG finding after the first infusion of study agent.

Among the hematology parameters, trends toward decreases from baseline with prolonged administration of CNTO 328 were observed for platelets, WBCs, and neutrophils. For all 3 parameters, the trend was toward recovery upon discontinuation of treatment with study agent. No major changes from baseline were identified in vital signs, ECGs, urinalysis, or clinical chemistry parameters.

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